

## Application for License <br> PURCHASE OF REGULATED METALS

This application is for businesses LIMITED LIABLILITY COMPANY/CORPORATION in the State of Rhode Island

## $\square$ New Application $\square$ Renewal Application FEDERAL ID/EIN NUMBER

$\qquad$
All lines MUST be complete or N/A if not applicable. Application MUST be typed or printed.



1. 

NAME OF LIMITED LIABLILITY COMPANY $\quad$ DATE OF LIMITED LIABLILITY COMPANY
2.
BUSINESS NAME BUSINESS TELEPHONE NUMBER
3.

4.
NORMAL BUSINESS HOURS DAYS OF OPERATION DATE BEGINNING BUSINESS
5.


6 a.

|  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| LLC PRESIDENT | RESIDENCE ADDRESS | CITY | STATE | SOCIAL SECURITY | DATE OF BIRTH |

6 b.

| LLC VICE PRESIDENT | RESIDENCE ADDRESS | CITY | STATE | SOCIAL SECURITY |
| :--- | :--- | :--- | :--- | :--- |

$6 c$.

| LLC SECRETARY | RESIDENCE ADDRESS | CITY | STATE | SOCIAL SECURITY |
| :--- | :--- | :--- | :--- | :--- |

6d.

|  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| LLC TREASURER | RESIDENCE ADDRESS | CITY | STATE | SOCIAL SECURITY | DATE OF BIRTH |

7. Do you buy goods other than at your office? If YES explain on line \#15.

YES $\qquad$ NO $\qquad$
8. Have you ever been refused, suspended or revoked a license, permit or identification card to operate a regulated metals business or to act as an agent of such business in this state or lawful jurisdiction? If YES, explain on line \#15.

YES $\qquad$ NO $\qquad$
9. Has any owner, partner, director, officer, member, or stockholder of the applicant's business ever had a license to operate as a tools/electronics business or operate as an agent of a regulated metals business, refused, suspended, or revoked in either this state or any other jurisdiction? If YES, explain on line \#15.

YES $\qquad$ NO $\qquad$

Please complete, sign and notarize the reverse side
10. Have you ever been arrested either in this state or any other jurisdiction?

If YES explain on line \#15.
YES $\qquad$ NO $\qquad$
11. Have you ever been convicted of a crime in either this state or any other jurisdiction? If YES explain on line \#15.

YES $\qquad$ NO
12. Have you ever pled guilty or Nolo Contendre to any crime, charge or violation in this state or any other jurisdiction?
If YES explain on line \#15.
YES $\qquad$ NO $\qquad$
13. Have you knowledge of any individual associated with your regulated metals business, either owner, partner, employee, or principal LLC officer, being arrested or convicted of any offense in this state or any other jurisdiction?
If YES explain on line \#15.
YES $\qquad$ NO $\qquad$
14. Attach to this application a list of names, residential addresses, dates of birth and social security numbers of ALL agents or employees to be engaged in buying regulated metals.

NONE $\qquad$
15. $\qquad$
16. Have you ever been placed on probation for any crime, charge or violation in this state or any other jurisdiction? If YES, explain on line \#15.

YES____NO $\qquad$
I, the undersigned, have read and understand the provisions of title 6 , chapter 11.2, inclusive, of the Rhode Island General Law pertaining to the regulation of the tools/electronics business and agents. I hereby apply for a license pursuant to the provisions of title 6, chapter 11.2 of the Rhode Island General Law and make oath to the truth and accuracy of all statements, answers, and representations made in this application, including all supplementary statements hereto attached. I acknowledge that any false or incorrect information contained within this application may subject me to criminal prosecution under Rhode Island General Law 11-18-1 and/or denial of my application for license for the purchase of regulated metals.

Signature of applicant: $\qquad$ Date signed: $\qquad$
Subscribed and sworn to at $\qquad$ before me this $\qquad$ day of $\qquad$ 20__. .
$\qquad$ . My commission expires, $\qquad$ -

Make check(s) payable to: Department of Attorney General

> License fee.
$\qquad$ \$ 70.00 (This applies to NEW or RENEWAL licenses )

Mail to: Department of Attorney General<br>Precious Metals Licenses<br>150 South Main Street<br>Providence, Rhode Island 02903

- BCI sent $\qquad$ by $\qquad$
by $\qquad$ by
$\qquad$
- SOS sent $\qquad$
$\qquad$


## $\square$

SOS received $\qquad$ by $\qquad$

- Cnd'l approval $\qquad$ by $\qquad$ $\square$ Final approval $\qquad$ by $\qquad$

