



Date: April 17, 2026

VIA EMAIL

Rhode Island Office of the Attorney General
150 South Main Street
Providence, RI 02903
aq@riag.ri.gov

Re: Notice of Data Incident

Dear Attorney General Neronha:

I am writing on behalf of Ameriprise and its affiliates to notify you, pursuant to 11 R.I. Gen. Laws Ann. § 11-49.3-4, regarding a data incident that may have involved the personal information of certain Rhode Island residents.

Description of the Incident

An unauthorized individual gained access to certain Ameriprise stored data and files, which may have included personal information, and which we blocked upon discovery on March 18, 2026. We immediately launched an investigation with the help of external cybersecurity experts.

Information Involved

The affected categories of information varied from person to person, but may have included an individual's name, address, account number, date of birth, and social security number. Regarding our potentially impacted clients, we want to reassure you that no unauthorized transactions or movement of funds occurred as part of this incident.

Number of Rhode Island Residents Affected

Our assessment indicates that 256 Rhode Island residents may have been affected.

Notification to Affected Individuals

We are in the process of providing written notices to affected individuals. Notices will be provided via first-class mail and will contain a summary of the incident, the types of personal information involved, steps individuals can take to help protect themselves and contact information. In addition, the letter will describe how to sign up for credit and identity monitoring, which we are offering at no charge to the recipients. A copy of our individual notification letter is enclosed.

Remedial Measures

Protecting our stakeholders' data remains a priority, and we are treating this matter with the utmost care and attention. For our potentially impacted clients, we have implemented heightened monitoring of their accounts to include enhanced identity verification procedures. We have also partnered with outside cybersecurity experts to investigate this incident and enhance our safeguards. This is all in addition to our robust information security program that is designed to protect clients' financial and personal information through multiple layers of security technology.

For more information about this matter, please contact me using the details provided below.

Respectfully submitted,

Jennifer Swihart,

Director of Compliance

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